

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 17:42:54

2. Agency: 010

3. Bureau: 76

4. Name of this Investment: BIA - National Irrigation Information Management System (NIIMS)

5. Unique Project (Investment) Identifier: 010-76-01-01-01-7625-00

6. What kind of investment will this be in FY 2011?: Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The NIIMS is a billing and collection system that provides complete debt management for irrigation O&M and construction accounts. The end-users are Indian irrigation projects that have costs that are reimbursable to the Federal government. The NIIMS platform is maintained at the NBC in Denver under contract to BIA's Office of Trust Services (OTS). The NIIMS application is managed by staff in the Division of Irrigation, Power and Safety of Dams (IPSOD), Branch of Fiscal Support (FSS) Services, most of whom are located in Denver. Data is entered at the project offices by BIA irrigation personnel. NIIMS must satisfy requirements from a number of sources such as the Code of Federal Regulations, BIA Manuals (policies), the Debt Collection Improvement Act of 1996, and Treasury guidelines. NIIMS establishes receivables, tracks financial billing and collection information, processes collection actions and posts them against the receivables, and, on a daily basis, generates summarized transactions for interfacing with FFS. Bill and demand letter generation and mailings are centralized functions managed by the Denver FSS staff. The application support staff performs debt management services including the electronic referral of delinquent debt to the U.S. Department of Treasury and proposals for debt termination. FSS staff handles the reconciliation of transactions among NIIMS, FFS and Treasury and is responsible for responding to data calls by auditors. There is currently no direct electronic connection with any other system. NIIMS also provides a number of reports, both scheduled and on demand, for the end-user and management communities in BIA. From a statute, regulatory and procedural perspective, NIIMS programs and processes require that irrigation projects comply with applicable laws and regulations. Work is currently underway to migrate the functionality of NIIMS off of the mainframe platform and onto a modernized server-based platform. Rising legacy mainframe costs, degradation of system capabilities due to continued use of legacy products and the difficulty in obtaining software programmers are factors in this decision. NIIMS is planned to be replaced in 2011.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
TBD	Fixed Price (RSA)	Y	2008-10-01	2008-10-01	2009-09-30	\$0.4	*	*	*	*	*
TBD	Fixed Price (RSA)	Y	2009-10-01	2009-10-01	2010-09-30	\$0.4	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Based on NIIMS project users, the actual performance is consistent with user or customer expectations.	Customer satisfaction level is at or higher than 4.0 out of a possible 5.0.	A customer satisfaction of 4.0 or higher out of a possible 5.0.	4.3
2010	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Forward unpaid past due debt to the US Treasury in accordance with the Debt Collection Improvement Act (DCIA) and its supplemental regulations.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	To be determined
2010	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Availability of the application to accept and process transactions during business hours.	12 incidents (in 2006)	Less than 8 incidents of application unavailability per year due to unscheduled maintenance.	3 network outages (in the first 3 quarters of 2010)
2009	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Availability of the application to accept and process transactions during business hours.	12 incidents (in 2006)	Less than 8 incidents of application unavailability per year due to unscheduled maintenance.	Exceeded this goal for all quarters as there was only one documented incident of an unscheduled application availability for the FY 2009 year.
2011	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Investment to be retired in 2011	Retired on 2011	2011	
2007	Water: Deliver Water Consistent with Applicable State and Federal Law in an	*	*	Based on NIIMS project users, the actual performance is consistent with user or	Customer satisfaction level is at or higher than 4.0 out of a possible 5.0.	A customer satisfaction of 4.0 or higher out of a possible 5.0.	In 2007, user satisfaction survey results are 4.0 out of a possible 5.0.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	environmentally Responsible and Cost-Efficient Manner.			customer expectations.			
2008	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Based on NIIMS project users, the actual performance is consistent with user or customer expectations.	Customer satisfaction level is at or higher than 4.0 out of a possible 5.0.	A customer satisfaction of 4.0 or higher out of a possible 5.0.	4.56
2009	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Based on NIIMS project users, the actual performance is consistent with user or customer expectations.	Customer satisfaction level is at or higher than 4.0 out of a possible 5.0.	A customer satisfaction of 4.0 or higher out of a possible 5.0.	4.6
2007	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Forward unpaid past due debt to the US Treasury in accordance with the Debt Collection Improvement Act (DCIA) and its supplemental regulations.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Exceeded 97% target for all 4 quarters.
2008	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Forward unpaid past due debt to the US Treasury in accordance with the Debt Collection Improvement Act (DCIA) and its supplemental regulations.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Exceeded 97% target for each of the 4 quarters.
2009	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Forward unpaid past due debt to the US Treasury in accordance with the Debt Collection Improvement Act (DCIA) and its supplemental regulations.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Refer 97% of the total dollar amount of debts that are eligible for referral to Treasury per quarter.	Exceeded 97% target for all four quarters in FY 2009.
2007	Water: Deliver Water Consistent with Applicable State and Federal	*	*	Generate and mail irrigation O&M bills on, or prior to, the scheduled	8,500 of the 10,200 bills mailed on time (2005)	90% Irrigation O&M bills mailed on, or prior to scheduled	Virtually 100% of Irrigation bills were mailed on time.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Law in an			billing date.		billing date.	
2008	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail irrigation O&M bills on, or prior to, the scheduled billing date.	8,500 of the 10,200 bills mailed on time (2005)	90% Irrigation O&M bills mailed on, or prior to scheduled billing date.	Exceeded 90% target for all billing runs. The few instances where a bill run was delayed or had to be re-run were due to problems outside of the control of the NIIMS support staff.
2007	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail demand letters for past due irrigation O&M bills no later than 60 days past the original billing date.	285 of the 300 demand letters went out on time (2005)	98% of the demand letters mailed no later than 60 days after the original billing date.	99% of the demand letters mailed no later than 60 days after due date.
2008	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail demand letters for past due irrigation O&M bills no later than 60 days past the original billing date.	285 of the 300 demand letters went out on time (2005)	98% of the demand letters mailed no later than 75 days after the original due date.	Did not meet this target because of changes to software after the move from NBC to BIA. Accomplishment was 91%.
2007	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Availability of the application to accept and process transactions during business hours.	12 incidents (in 2006)	Less than 10 incidents of application unavailability per year due to unscheduled maintenance.	In 2007 there were 6 instances of an outage for NIIMS and 2 instances of an outage for DocDirect.
2008	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Availability of the application to accept and process transactions during business hours.	12 incidents (in 2006)	Less than 9 incidents of application unavailability per year due to unscheduled maintenance.	Exceeded this goal as there was only one documented incident of an unscheduled application availability for the year.
2009	Water: Deliver Water	*	*	Generate and mail irrigation	8,500 of the 10,200 bills	90% Irrigation O&M bills	Exceeded 90% target for all

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Consistent with Applicable State and Federal Law in an			O&M bills on, or prior to, the scheduled billing date.	mailed on time (2005)	mailed on, or prior to scheduled billing date.	billing runs. The few instances where a bill run was delayed or had to be re-run were due to problems outside of the control of the NIIMS support staff.
2010	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail irrigation O&M bills on, or prior to, the scheduled billing date.	8,500 of the 10,200 bills mailed on time (2005)	90% Irrigation O&M bills mailed on, or prior to scheduled billing date.	To be determined
2009	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail demand letters for past due irrigation O&M bills no later than 60 days past the original billing date.	285 of the 300 demand letters went out on time (2005)	98% of the demand letters mailed no later than 75 days after the original due date.	Met this goal for the quarters in FY 2009.
2010	Water: Deliver Water Consistent with Applicable State and Federal Law in an environmentally Responsible and Cost-Efficient Manner.	*	*	Generate and mail demand letters for past due irrigation O&M bills no later than 60 days past the original billing date.	285 of the 300 demand letters went out on time (2005)	98% of the demand letters mailed no later than 60 days after the original billing date.	To be determined

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
NIIMS - Operations & Maintain - FY 2004	\$0.4	\$0.4	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
NIIMS - Operations & Maintain For Server - FY 2008	\$0.4	\$0.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
NIIMS - Operations & Maintain - FY 2009	\$1.8	\$1.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
NIIMS - Operations & Maintain For Server - FY 2009	\$0.4	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
NIIMS - Operations & Maintain - FY 2010	\$1.8	\$0.8	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%
NIIMS - Operations & Maintain For Server - FY 2010	\$0.4	\$0.7	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%
NIIMS - O&M Retirement	*	*	2010-10-01		2011-09-30		0.00%	0.00%
NIIMS - Operations & Maintenance (For Server - FY2004)	\$2.6	\$2.6	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
NIIMS - Operations & Maintain - FY 2005	\$1.8	\$1.8	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
NIIMS - Operations & Maintain For Server - FY 2005	\$0.4	\$0.4	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
NIIMS - Operations & Maintain - FY 2006	\$1.8	\$1.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
NIIMS - Operations & Maintain For Server - FY 2006	\$0.4	\$0.4	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
NIIMS - Operations &	\$1.8	\$1.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Maintain - FY 2007								
NIIMS - Operations & Maintain For Server - FY 2007	\$0.4	\$0.4	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
NIIMS - Operations & Maintain - FY 2008	\$1.8	\$1.2	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%

* - Indicates data is redacted.